Striatal allografts in patients with Huntington’s disease: impact of diminished astrocytes and vascularization on graft viability

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Neuronal transplantation has been proposed as a potential therapy to replace lost neurons in Huntington’s disease. Transplant vascularization and trophic support are important for graft survival. However, very few studies have specifically addressed graft vascularization in patients with neurological disorders. In the present study, we analysed the vasculature of the host putamen and solid grafts of foetal striatal tissue transplanted into patients with Huntington’s disease 9 and 12 years previously. Grafts were characterized by a significantly reduced number of large calibre blood vessels in comparison with the host brain. There were also significantly fewer astrocytes and gap junctions, suggesting a lack of functional blood-brain barrier components within the grafted tissue. Additionally, grafts demonstrated a nearly complete absence of pericytes (compared with the striatum) that are considered important for vascular stabilization and angiogenesis. Finally, the host striatum had a marked increase in atrophic astrocytes in comparison with controls and grafts. The extent to which the lower number of large calibre vessels and astrocytes within the transplants contributed to suboptimal graft survival is unknown. The marked increase in atrophic astrocytes in the host brain surrounding the grafts suggests that reduced host trophic support may also contribute to poor graft survival in Huntington’s disease. A better understanding of the way in which these components support allografted tissue is critical to the future development of cell-based therapies for the treatment of Huntington’s disease.

Keywords: Huntington’s disease; cell transplantation; vascularization; astrocytes; pericytes; glutamate; gap junctions

Abbreviation: GFAP = Glial Fibrillary Acidic Protein; PDGFR-β = platelet-derived growth factor receptor-β; vWF = von Willebrand factor; α-SMA = α-smooth muscle actin

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Introduction

Huntington's disease is a devastating autosomal dominant neurodegenerative disorder caused by a CAG expansion in exon 1 of the huntingtin gene. The disease is characterized by prominent cell losses in a number of brain structures, particularly in the striatum and cortex. Clinical features include a variety of motor, cognitive and psychiatric deficits (Phillips et al., 2008). Although incurable, there are some partially effective symptomatic treatments and a range of experimental therapies that are being pursued (Qin et al., 2005; Phillips et al., 2008; Nance et al., 2012). Cell transplantation, that aims to replace the neurons of the striatum that are targeted early in the pathogenic process, has yielded some preliminary, modest and short-lived clinical benefits (Kopyov et al., 1998; Bachoud-Levi et al., 2000; Hauser et al., 2002; Rosser et al., 2002; Bachoud-Levi, 2006; Bachoud-Levi et al., 2006; Keene et al., 2007; Reuter et al., 2008; Gallina et al., 2010; Cicchetti et al., 2011). We have reported previously that graft survival in Huntington's disease is compromised long-term (Cicchetti et al., 2009, 2011). This increases the possibility that short-term benefits are lost owing to compromised graft viability as opposed to the progression of the underlying disease.

We have undertaken post-mortem studies in five of the seven patients with Huntington's disease who received transplants in the open label pilot study conducted at the University of South Florida (Freeman et al., 2000a, b, c, 2011; Hauser et al., 2002; Cicchetti et al., 2009, 2011). Our observations suggest that grafted cells undergo degeneration possibly as a result of a chronic inflammatory response to the transplant and excitotoxic effects of aberrant host cortical afferents onto the grafted cells (Fan et al., 2007; Cicchetti et al., 2009, 2011; Freeman et al., 2011). In this article, we additionally examined the relationship between solid neuronal allograft vascularization and astrocytic responses to long-term transplant viability in the brains of two patients with Huntington's disease.

Materials and methods

Patient characteristics

This report focuses on the histological evaluation of two brains (from Patients 1 and 7) of the initial seven patients with Huntington's disease cohort in the open label pilot study on cell transplantation conducted at the University of South Florida (Hauser et al., 2002). These two brains were chosen because they had the best histological evidence of long-term graft survival from our cohort. Patient 1 had 42 CAG repeats (Hauser et al., 2002; Cicchetti et al., 2009), and Patient 7 had 53 CAG repeats (Hauser et al., 2002). These two female patients were transplanted at 58 and 28 years of age, and died 9 and 12 years post-transplantation, respectively, of causes unrelated to surgery. At autopsy, they were classified as having grade 3 and grade 4 disease according to the Vonsattel post-mortem rating scale (Vonsattel et al., 1985).

The age-matched control brain for Patient 1 was a 70-year-old female previously described in Cicchetti et al. (2009). The age-matched control brain used for Patient 7 was a 41-year-old male who died of myocardial infarction. The control brain from a non-Huntington's disease 12-year-old boy, who died from pulmonary complications of multiple trauma, was used to match the developmental stage of the grafted foetal tissue of both Huntington's disease transplanted cases (Fig. 1B).

Donor tissue preparation and transplantation

Methods for tissue preparation, transplantation and immunosuppression, as well as clinical and radiological evaluation have been described previously (Freeman et al., 1995, 2000a, b, c, 2011; Hauser et al., 2002; Cicchetti et al., 2009, 2011). Briefly, all patients received foetal tissue transplants from five to eight striatal primordia per site. Solid tissue transplants measured 0.5–1 mm³ and were derived from the far lateral portion of the lateral ventricular eminence to optimize the percentage of tissue of striatal origin (Freeman et al., 1995). More specifically, Patient 1 received one striatal anlage in the left and right caudate and four in the left and right putamen. Patient 7 received one far lateral portion of the lateral ventricular eminence in the left and right caudate and five and six far lateral portion of the lateral ventricular eminences in the left and right putamen, respectively. All graft sites could be identified macroscopically on post-mortem evaluation (Fig. 1A).

Tissue preparation for post-mortem histological evaluation

The brains of the transplanted patients were removed within 5 h (Patient 1) and 2 h (Patient 7) of death. Briefly, the brains were bisected, cut serially into 1-cm thick slabs and immersed in Zamboni’s cryoprotectant solution (Freeman et al., 2000a; Cicchetti et al., 2009, 2011). For the control brains, post-mortem delays varied between 10 and 14 h. The control brains were also bisected, sliced into 2-cm thick slabs and then fixed by immersion in 4% paraformaldehyde at 4°C for 3 days. They were then stored at 4°C in a 0.1 M PBS, pH 7.4 cryoprotectant solution (Freeman et al., 2000a; Cicchetti et al., 2009). The control brains, post-mortem delays varied between 10 and 14 h. The control brains were also bisected, sliced into 2-cm thick slabs and then fixed by immersion in 4% paraformaldehyde at 4°C for 3 days. They were then stored at 4°C in a 0.1 M PBS, pH 7.4 containing 15% sucrose and 0.1% sodium azide. Both the transplanted and control brains were sectioned using a freezing microtome (Wallman et al., 2011). These slightly different methods of tissue preservation did not appear to affect the quality of the histological stainings. The protocols for single or double immunohistochemistry as well as for acetylcholinesterase histochemical staining have been described in details in Cicchetti et al. (2009) (also see Table 1 for antibody details).

Immunofluorescence

Post-fixation, sections were rinsed three times with KPBS and preincubated in a blocking solution containing 0.1% bovine serum albumin (Bioshop), 0.04% Triton™ X-100 (Sigma), 4% normal goat serum (Wisent Inc.) in KPBS for 30 min. After three further washes with KPBS, they were incubated in a blocking solution overnight at 4°C with primary antibodies alone or in the following combinations: von Willibrand factor (vWF), platelet-derived growth factor receptor-β (PDGFR-β)/α-smooth muscle actin (α-SMA), vWF/Glial fibrillary acidic protein (GFAP) (Table 1). Type A pericytes (which emerge and proliferate from the basal lamina, releasing essential components of the extracellular matrix and contributing to the stromal tissue of the glial scar) stain positively for PDGFR-β (Goritz et al., 2011). In contrast, type B pericytes (which attach to blood vessels and fulfil the
classic function of pericytes) express PDGFR-β and α-SMA (Goritz et al., 2011).

After washes in KPBS, sections were reincubated with appropriate secondary antibodies in a blocking solution for 90 min at room temperature. After rinsing, sections were incubated in KPBS containing 0.022% 4′,6-diamidino-2-phenylindole (2 mg/ml, Molecular Probes), washed and mounted on SuperFrost™ slides (Fisher Scientific), coverslipped with Fluoromount-G™ (SouthernBiotech) and sealed with nail polish.

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<td>Mouse Cy3 conjugated α-SMA</td>
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Figure 1 Macroscopic graft identification and patient demographics. (A) Macroscopic identification of the transplants based on acetylcholinesterase (AChE) staining in two patients with Huntington’s disease included in this study, and compared with corresponding atlas levels (Mai et al., 2004). Scale bars = 100 μm. (B) Summary table of all brains analysed in this study. cc = corpus callosum; Cd = caudate; EGP = external globus pallidus; HD = Huntington’s disease; Pu = putamen.

Confocal laser scanning and brightfield microscopy

Confocal laser scanning microscopy was performed using both an Olympus FV500 and LSM 5 PASCAL (Zeiss) confocal laser-scanning microscope. Images were acquired by sequential scanning and optimized by a two-frame Kalman filter and analysed using acquisition software from Olympus (Fluoview SV500 imaging software 4.3, Olympus.
Blood vessel quantifications and measurements

Quantifications of blood vessels labelled with vWF (for capillaries) and α-SMA (for large calibre blood vessels) were performed using a series of images collected from the host putamen and grafts at both ×10 and ×20 on a Zeiss LSM 5 Pascal confocal laser-scanning microscope. The number of blood vessels (vWF-positive or α-SMA-positive) was calculated in each sampled field of equal area using the standardized method of ImageJ for particle analysis (Li et al., 2010; Sen et al., 2011). The results are reported as the number of positive events per field of view and expressed as a mean ± SEM. The diameter of vWF-positive capillaries or α-SMA-positive blood vessels was measured with ImageJ on a sample of 40 vessels each. The diameters are expressed as a mean ± SEM. We were unable to measure the density of blood vessels as a function of brain atrophy, as the number of brain tissue sections containing the graft was too few to allow this.

Densitometric quantification of gap junctions

Digitized brain images of the putamen were obtained with a Northern Light Desktop Illuminator (Imaging Research) using a Sony Camera Video System (XC-77) and acquired with ScionImage for Windows (Scion Corporation, version Alpha 4.0.3.2). All images were acquired at the same magnification to allow the visualization of the entire putamen in a single field. The entire putaminal structure was delineated, and the intensity of connexin-43 staining was assessed using the ImageJ software. Background intensities taken from the corpus callosum devoid of connexin-43 staining were subtracted from each measurement.

Statistical analyses

One-way ANOVA calculations were used to compare the number of GFAP-positive cells, and vWF-positive and α-SMA-positive blood vessels in each quantified region. For the GFAP-positive cell and vWF-positive vessel counts, the assumption of homogeneity was not respected; thus, a negative binomial distribution was used. Step-down Bonferonni correction was further used to ensure that the overall significance level of the multiple comparison tests was 0.001. The analysis was performed using the MIXED and GENMOD procedures of SAS version 9.2. Diameters of vWF-positive and α-SMA-positive blood vessels were evaluated by a Student t-test ($P < 0.001$) using PRISM 4 (Graphpad Software). All data are expressed as mean ± SEM.

Results

The majority of transplants could be identified macroscopically (Fig. 1A). The grafts demonstrated a compartmentalized organization with the formation of striatal patchy areas referred to as p-zones that stained positively for acetylcholinesterase, as well as areas where there was no expression of striatal phenotypes (Fig. 1A), referred to as non-p-zones (Graybiel et al., 1989). These observations are similar to those described previously (Freeman et al., 2000a, 2011; Cicchetti et al., 2009).

Diminished number of large calibre blood vessels in grafted tissue

The presence of two distinct blood vessel populations was confirmed using diameter measurements on α-SMA-positive large calibre blood vessels and vWF-positive capillaries ($P < 0.001$; Fig. 3F). The number of large calibre (α-SMA-positive) blood vessels was lower in grafts compared with host and control brains ($P < 0.001$; Figs 2A and 3A). Within the grafts themselves, there was a further significant reduction in the number of large vessels in the p-zones compared with the non-p-zones of the grafts ($P < 0.001$; Figs 2A, B, 3A and C).

Pericytes associated with α-SMA-positive blood vessels were found in the host (Fig. 3A and B) and in the graft non-p-zones (Fig. 3A and D), but not the p-zones (Fig. 3A and C). Graft p-zones demonstrated PDGFR-β-positive pericytes associated only with capillaries (Fig. 3C). Taken together, these data suggest that there are diminished blood vessels and pericytes in grafts, and that this is more prominent in the graft p-zones than in the non-p-zones.

Immunofluorescence for the endothelial marker vWF revealed that the host putamen had a richer capillary network when compared with the grafts or control brains ($P < 0.001$; Figs 2C, D and 3E). These results suggest that there are no significant differences between the number of capillaries in the grafts and age-matched normal brains. However, there is a significant increase in the number of capillaries in the Huntington’s disease putamen in comparison with the normal putamen ($P < 0.001$; Figs 2C, D and 3E), although the extent to which this may relate to a degree of atrophy is unclear.

Astrocytic subtypes within the host putamen and grafts

There was a significantly higher number of astrocytes in the Huntington’s disease host putamen than in any of the grafts or...
control putamen ($P < 0.001$ and $P < 0.05$; Figs 4A, C, 5A and C). In particular, there was a marked increase in the number of atrophic GFAP-positive cells in both the host putamen in comparison with grafts or age-matched controls. The host brain was found to have activated atrophic astrocytes typical of what is observed in Huntington’s disease (Vonsattel et al., 1985) ($P < 0.001$; Figs 4B, D and 5C).

Within grafts, several different astrocytic subtypes were also evident. Astrocytes at the graft-host border were activated, but had a much more atrophied appearance compared with the ones found in the graft non-p-zone and its age-matched control ($P < 0.001$; Figs 4B, D, 5B and C). A circumferentially reactive layer of astrocytic processes was found in the transplant non p-zones surrounding the p-zones (Fig. 5D, E and G). This was not a true ‘glial scar’, as host dopaminergic and cortical striatal neurons easily penetrated this reactive layer and innervated the graft [Fig. 5F and Cicchetti et al. (2009)]. Additionally, type A pericytes, typically found in reactive scars (Goritz et al., 2011) were not found in this circumferential reactive layer.

Astrocytes were more concentrated in the periphery than in the core of the p-zones ($P < 0.001$ and $P < 0.05$; Figs 4A, C, 5A and D). Graft p-zones did not display atrophied GFAP-positive cells ($P < 0.001$; Figs 4B and D). A third morphological appearance of astrocytes was found at the interface of the graft p-zones and graft non-p-zones. Resting astrocytes were also observed in both compartments of the grafts, but their number was significantly lower than in the host brain or the 12-year-old control brain ($P < 0.01$ and $P < 0.05$; Figs 4B, D, 5A and D). Radially oriented astrocytes were only observed within the graft p-zones (Figs 4B, D and 5E).

**Figure 2** Quantifications of vWF-positive capillaries and α-SMA-positive blood vessels. Significant differences were observed between the number of α-SMA-positive (A and B) and VWF-positive (C and D) vessels in the host putamen, graft p-zones and non-p-zones. Data are expressed as a mean ± SEM. All statistical analyses were performed using the step-down Bonferroni correction method. **$P < 0.01$, ***$P < 0.001$, significant difference compared with the Huntington’s disease transplanted brain; +++$P < 0.001$, significant difference compared with the 12-year-old control brain; ###$P < 0.001$, significant difference compared with the graft non p-zone. HD = Huntington’s disease; TP = transplantation; yr = year.
Blood–brain barrier alterations within the grafts

Host blood vessels were almost entirely wrapped by astrocytic end-feet (Fig. 6A). However, astrocytes seem to have an attenuated interaction with the capillaries within graft p-zones (Fig. 6C) compared with the non-p-zones (A, D and D′) as well as in the host (A and B). Pericytes were observed in the p-zones associated with capillaries, but not with large calibre α-SMA blood vessels (C). Various examples of blood vessels and blood-associated elements (e.g. pericytes) at higher magnification (B–D′). Larger calibre blood vessels, as observed in the host, stained positively for both PDGFR-β and α-SMA (B). Photomicrograph illustrating the exclusive presence of capillaries within a graft p-zone (C). 3D reconstruction, as performed by confocal microscopy, depicting the presence of pericytes (green) onto a blood vessel (red) (D). Higher magnification of a triple staining (PDGFR-β in green, α-SMA in red and 4′,6-diamidino-2-phenylindole in blue) illustrating pericytes and their relationship to a blood vessel, as visualized in a non-p-zone of the grafted tissue (D′). Immunofluorescence for the blood glycoprotein vWF revealed a significantly greater number of capillaries in the host parenchyma than in the grafted tissue (E, also see Fig. 2C and D). To further confirm the presence of distinct blood vessel populations, diameters were measured for 40 sampled vWF-positive and α-SMA-positive vessels, which further revealed a significant difference (F). The values are expressed as a mean ± SEM (Student’s t-test; ***P < 0.001). Scale bars: A = 100 μm; B = 10 μm; C = 100 μm, D, D′ = 10 μm; E = 1 mm.

**Discussion**

Striatal grafts in patients with Huntington’s disease demonstrate significantly fewer large blood vessels in comparison with the host and control brains. This may have contributed to poor long-term...
graft survival (Cicchetti et al., 2009), as blood vessels are necessary for normal cell metabolism. However, while this may relate in some way to the atrophy seen in Huntington's disease, it more likely reflects a primary abnormality as has been observed in transgenic YAC128 Huntington's disease models (Franciosi et al., 2012). Diminished vascularization of grafts in comparison with the host has also been described in a patient with Parkinson's disease who had good graft survival (Kordower et al., 1996).

Vascularization of grafted tissue and its integration into the host circulatory system is needed for graft survival (Lindsay et al., 1984; Broadwell et al., 1990). Several factors have been identified that participate in graft revascularization. Blood vessel supply is proportional to the dimension of the graft and method of dissection of the foetal tissue (Freeman et al., 2011). Cell suspension grafts derive vascularization from the host (Baker-Cairns et al., 1996), whereas solid grafts, which have within them an intact vessel network, anastomose with the host vasculature (Baker-Cairns et al., 1996). Therefore, solid grafts, which contain vascularization from the donor, may be more immunogenic than suspension cell transplants (Freeman et al., 2011). In our study, transplants were derived from solid tissue pieces (Freeman et al., 1995, 2000a, b, c; Hauser et al., 2002; Cicchetti et al., 2009). Additionally, the size of the cannula used for the injection could further trigger inflammation. The smaller cannula used for cell suspension grafting might minimize the brain trauma and thus, the local inflammatory reaction (Freeman et al., 2011). The
brains and grafts with regard to capillaries. Therefore, diminished 

immunogenicity of the blood vessels in solid grafts combined with 

vasculature supply within graft p-zones is specifically limited to the 

larger blood vessels.

Pericytes and astrocytes are critical elements in the development 

and maintenance of normal vasculature (Bonkowski et al., 2011; 

Winkler et al., 2011). The scarcity of these elements in graft 

p-zones may have further contributed to the diminished graft sur-

vival in our patients with Huntington’s disease in comparison 

with Parkinson’s disease. It is also plausible, however, that targeted 

inflammatory and excitotoxicity aimed at the p-zones within the 

transplants (Cicchetti et al., 2009, 2011) may have caused deg-

radation of vasculature as a secondary phenomenon. Gap junc-

tions, and in particular connexin-43, which is abundantly 

expressed onto astrocytes, have recently been reported to be crit-

ical for the proper integration of the grafted cells with the host 

brain (Jaderstad et al., 2011). Finally, in Huntington’s disease, 

astrocytes show lower levels of some of the transporters that 

have a high affinity for glutamate, such as the glutamate trans-

porter 1 or the glutamate aspartate transporter (Shin et al., 2005), 

which could lead to impairments in glutamate buffering, contribut-

ing to the excitotoxicity and degeneration of grafted cells 

(Cicchetti et al., 2009).

We have previously reported that microglia specifically target 

neurons within the graft p-zones (Cicchetti et al., 2009, 2011). 

This contrasts with what we have observed here, where astrocytes 

are distinctly absent within the graft p-zones. As astrocytes par-

ticipate in the maintenance of the blood–brain barrier, it may 

therefore be this which is critically compromised in the p-zone 

of the transplanted tissue. In addition to the role of astrocytes in 

maintaining the blood–brain barrier around blood vessels, several 

types of astrocytic morphologies were observed within grafts 

We report several differences in the vasculature found within 

the host, graft non-p-zones and p-zones (Fig. 7). First, large blood 

vessels were more numerous in the host than graft non-p-zones 

and were almost non-existent in graft p-zones. In this regard, 

pericytes associated with large blood vessels were found in the 

host and graft non-p-zones, but not in the graft p-zones. Taken 

together, this suggests that the relative absence of large blood 

vessels and pericytes within the graft p-zones may be contributing 

to poor graft viability. The presence of large blood vessels is per-

haps not expected in the transplant, given that typically, blood 

supply comes from locally sprouting smaller vessels (Scott and 

Sherman, 1984). We observed no differences between control 

brain and grafts with regard to capillaries. Therefore, diminished 

This contrasts with what we have observed here, where astrocytes 

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A circumferential reactive layer of astrocytic processes was found surrounding graft p-zones. Although reminiscent of a glial scar, this layer was highly permeable to the host projections to the grafts, including dopaminergic and cortico-striatal glutamatergic fibres. Additionally, type A pericytes, typically found in reactive scars (Goritz et al., 2011), were not found in this circumferential reactive layer. This is therefore not a true scar.

Moreover, radially oriented resting astrocytes within the graft p-zones represented a unique morphology that has never been described in the context of transplantation. The presence of radially oriented glial fibres observed in these transplants in patients with Huntington’s disease suggests that these fibres may participate in the internal organization of embryonic graft morphology in response to developmental cues from the host brain, similar to the role radial glial fibres play in the development of the embryonic brain (Sidman et al., 1973; Gates et al., 1996; Ang et al., 2003; Anthony et al., 2004).

In conclusion, diminished graft survival in Huntington’s disease may be influenced by the presence of host atrophic astrocytes and diminished trophic support to grafts, and the relative absence of larger blood vessels and pericytes as well as an abnormal blood–brain barrier in graft p-zones. The localization of many of these observations to graft p-zones specifically sits well with our previous observations that glutamate toxicity from cortico-striatal projections targets p-zones in grafts as well. These findings suggest that there are complex interactions between inflammatory cells, blood vessels, the blood–brain barrier and grafts that all contribute to the poor long-term survival of these grafts. Similar interactions may also participate in the development of Huntington’s disease itself.

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Figure 7 Schematic of astrocytic interaction and vascularization in grafted tissue and surrounding brain. We have observed that foetal allografts in two patients with Huntington’s disease a decade post-transplantation are characterized by the absence of critical elements of the neurovascular unit possibly contributing to poor vascularization of the transplanted cells and thus suboptimal graft survival long-term. More specifically, p-zones of the grafted tissue are not supplied by large blood vessels but strictly vascularized by capillaries. Elements associated with vascularization, such as pericytes and astrocytes, are also largely absent within the graft p-zones. In other compartments of the transplant (non-p-zones) and in the host, heterogeneous astrocytic populations were identified. For example, host astrocytes were characterized by an activated atrophied morphology. Astrocytes found at the host-graft interface demonstrated an activated morphology. The border p-zone/non-p-zone of the graft was demarcated by circumferentially oriented resting astrocytes. On the edge of the graft p-zone, additional radially projecting resting astrocytes were noted. However, this astrogliosis is permeable to the infiltration of tyrosine hydroxylase fibres originating from the host as well as to cortical connection via vGlut1 (Cicchetti et al., 2009, 2011). The absence of astrocytes within the graft is also accompanied by the lack of connexin-43, a subunit of gap junctions.

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